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PTO/SB/05 (11-00)

App. use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 015358-006500US

First Inventor Hull, Jonathan J.

Title PAPER-BASED INTERFACE FOR MULTIMEDIA INFORMATION

Express Mail Label No. EL 265 818 871 US

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 80]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention (Title Page)
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C.113) [Total Sheets 34]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly unexecuted (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper number of pages
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

**Do not charge the application fee at this time**

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/149,921  
Prior application information: Examiner X. L. Bautista Group Art Unit: 2173

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

20350

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Sujit B. Kotwal

Registration No. (Attorney/Agent)

43,336

Signature

S. B. Kotwal

Date

November 19, 2001

"Express Mail" Label No.: EL 265 818 871 US

Date of Deposit: November 19, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

By: S. B. Kotwal

PA 3184504 v1

**NONPUBLICATION REQUEST  
CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Hull, Jonathan J.
Title	PAPER-BASED INTERFACE FOR MULTIMEDIA INFORMATION
Atty Docket Number	015358-006500US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 19, 2001  
Date

S. B. Kotwal  
Signature

Sujit B. Kotwal, Reg. No. 43,336  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
PA 3184571 v1

10001895-111901

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PTO/SB/21 (08-00)  
Approved through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

1

Application Number

To Be Assigned

Filing Date

November 19, 2001

First Named Inventor

Hull, Jonathan J.

Group Art Unit

To Be Assigned

Examiner Name

To Be Assigned

Attorney Docket Number

015358-006500US

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

Statement Under 37 CFR 1.6(e); Sealed  
Express Mail Envelope having Label No.  
EL265818871US; Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430.

The Express Mail envelope contains a patent application, Attorney Docket No. 015358-006500US, the filing of which was attempted on November 19, 2001.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP  
Sujit B. Kotwal

Reg. No. 43,336

Signature

S. B. Kotwal

Date

November 21, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as registered first class mail in an envelope addressed to: BOX FILING DATE, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

November 21, 2001

Typed or printed name

Sujit B. Kotwal

Signature

S. B. Kotwal

Date

November 21, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
PA 3185671 v1

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**PATENT**  
Attorney Docket No.: 015358-006500US  
Client Reference No.: ID-RSV-263

BOX FILING DATE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

On November 21, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: S.B. Kotwal

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

JONATHAN J. HULL et al.

Application No.: Unassigned

Attempted Filing Date: November 19, 2001

For: PAPER-BASED INTERFACE FOR  
MULTIMEDIA INFORMATION

Examiner: Unassigned

Art Unit: Unassigned

STATEMENT UNDER 37 CFR § 1.6(e)  
THAT USPS REFUSED TO ACCEPT  
EXPRESS MAIL CORRESPONDENCE

*Receipt date granted  
is 11/19/01.  
J. Chang from  
OPLA*

Box Filing Date  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

My name is Sujit B. Kotwal, registration number 43,336. I am a patent attorney working at Townsend and Townsend and Crew LLP.

This statement is being mailed as registered first class mail along with a sealed Express Mail envelope having label "EL 265 818 871 US" addressed to Box Patent Application, Assistant Commissioner of Patents & Trademarks, Washington, D.C., 20231-0001. This Express Mail envelope contains a patent application titled "Paper-

10001895 "111901

**Based Interface For Multimedia Information" by Jonathan J. Hull et al. (Attorney  
Docket Number 015358-006500US).**

On November 19, 2001, I arrived at the Hamilton Street, Palo Alto, CA, post office at approximately 4:30 PM with the intention of depositing the enclosed Express Mail envelope (having label "EL 265 818 871 US") with the United States Postal Service under 37 CFR § 1.10.

The postal clerk at the Palo Alto post office refused to accept this Express Mail envelope, because on Friday, November 16, 2001, Patrick R. Donahoe, Chief Operating Officer and Executive Vice President of the United States Postal Service (USPS) issued a memorandum temporarily and immediately suspending Express Mail service to Washington, D.C., ZIP Codes 202xx through 205xx (e.g., 20231). The United States Patent and Trademark Office has designated this interruption in the Express Mail service of the USPS as a postal service interruption within the meaning of 35 USC § 21(a) and 37 CFR § 1.6(e).

I submit that the above identified Express Mail correspondence would have been filed on November 19, 2001, if it were not for the designated interruption or emergency in the United States Postal Service. Accordingly, I request under the provisions of 37 CFR § 1.6(e) that the enclosed application (Attorney Docket Number 015358-006500US) be granted a filing date of November 19, 2001.

If I can be of further assistance, please call me at 650-326-2400.

Respectfully submitted,

*S. B. Kotwal*

Sujit, B. Kotwal  
Reg. No. 43,336

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